



05-05-05

PATENT APPLICATION

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JFW

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 on the date shown below as EXPRESS MAIL POST OFFICE TO ADDRESSEE, MAILING LABEL NO. EV 540353441 US.

Maria T. Sanchez

(Print Name)

Date: May 4, 2005

Maria T. Sanchez  
(Signature)

Attorney's Docket No. 2001JP309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for

Takamasa HARADA

Serial No.: 10/688,541

Group Art Unit: 2872

Filed: September 17, 2003

Examiner: John Juba, Jr

For: **OPTICAL FILM HAVING CONTROLLED SCATTERING/TRANSMITTING CHARACTERISTICS**

**PETITION FOR AN UNINTENTIONALLY DELAYED BENEFIT CLAIM  
UNDER 37 CFR 1.78(a)(2) FOR PRIORITY UNDER 35 U.S.C. §120**

May 4, 2005

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria,  
Virginia, 22313-1450

EXPRESS MAIL MAILING LABEL NO. EV 540353441 US

Serial No.: 10/688,541  
Filing Date: September 17, 2003

Customer No. 26,289  
Attorney Docket No. 2001JP309

Dear Sir,

Please insert the reference required by 35 U.S.C. §120 and 37 CFR 1.78(a)(2) in the Specification in the beginning:

"This application is a continuation of PCT International Application PCT/JP02/03760 with an international filing date of April 16, 2002 based on a priority application 2001-123389 filed in the Japanese Patent Office with a priority filing date of April 20, 2001."

This patent application is a continuation application based on an international application filed in the Japanese Patent Office with a priority application number of 2001-123389 and a priority filing date of April 20, 2001. The priority application has an International application number of PCT/JP02/03760 with an international filing date of April 16, 2002.

All the accompanying PCT paperwork, together with the specification and Declaration, was filed with the national filing of the application in the US Patent Office on September 17, 2003, where the Declaration for Utility or Patent Application (37 CFR 1.63) refers to the foreign priority application.

The present application was intended to be filed as a PCT application (PCT/JP/03760) designating the United States and was to enter the national phase in the United States prior to October 20, 2003. The application was filed using the 35 USC 111(a) form but was intended to have been filed under 35 USC 371. When this error was noticed a notification was sent to the USPTO requesting the claim for priority, but was rejected as not being within the later of four months from the date on which the national stage commenced under 35 USC 371(b) or (f) or sixteen months from the filing date of the prior application.

EXPRESS MAIL MAILING LABEL NO. EV 540353441 US

Serial No.: 10/688,541  
Filing Date: September 17, 2003

Customer No. 26,289  
Attorney Docket No. 2001JP309

The applicants are submitting a petition for an unintentionally delayed benefit claim under 37 CFR 1.78(a)(3) requesting priority under 35 U.S.C. §120 based upon a previously filed application.

The PCT forms PCT/IB/301, PCT/IB/304, and PCT/IB/308 are enclosed showing the receipt of record copy, submission of priority document and notification of designated offices. If any additional information is required these can be supplied to the Commissioner.

An authorization to charge the fee to Deposit Account No. 50-3390 of \$1,370 for the petition to accept an unintentionally delayed claim for priority is enclosed.

If an additional fee is required, the Commissioner is hereby authorized to credit any overpayment or charge any fee deficiency to Deposit Account No. 50-3309.

The agent may be contacted at (908) 429-3536 to clarify any further issues related to claiming foreign priority.

Respectfully submitted,



Attorney for Applicants  
Sangya Jain  
Reg. No. 38,504  
AZ Electronic Materials USA Corp.  
70, Meister Avenue  
Somerville, NJ 08876  
Telephone: (908) 429-3536  
Fax: (908) 429-3650

Customer No. 26,289

EXPRESS MAIL MAILING LABEL NO. EV 540353441 US

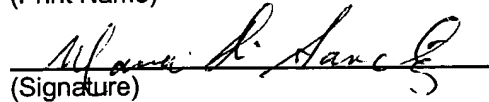
PATENT APPLICATION

**CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)**

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Maria T. Sanchez  
(Print Name)

Date: May 4, 2005

  
(Signature)

Attorney's Docket No. 2001JP309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for

Takamasa HARADA

Serial No.: 10/688,541

Group Art Unit: 2872

Filed: September 17, 2003

Examiner: John Juba, Jr

For: **OPTICAL FILM HAVING CONTROLLED SCATTERING/TRANSMITTING  
CHARACTERISTICS**

**STATEMENT UNDER 37 CFR 1.78(a)(2)**

May 4, 2005

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia, 22313-1450

EXPRESS MAIL MAILING LABEL NO. EV 540353441 US

Serial No.: 10/688,541  
Filing Date: September 17, 2003

Customer No. 26,289  
Attorney Docket No. 2001JP309

The applicants are submitting a petition for an unintentionally delayed benefit claim under 37 CFR 1.78(a)(3) requesting priority under 35 U.S.C. §120 based upon a previously filed application.

The PCT forms PCT/IB/301, PCT/IB/304, and PCT/IB/308 are enclosed showing the receipt of record copy, submission of priority document and notification of designated offices. If any additional information is required these can be supplied to the Commissioner.

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Respectfully submitted,



Attorney for Applicants  
Sangya Jain  
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Somerville, NJ 08876  
Telephone: (908) 429-3536  
Fax: (908) 429-3650

Customer No. 26,289

EXPRESS MAIL MAILING LABEL NO. EV 540353441 US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa HARADA**

Docket No.

**2001JP309**

Application No.

**10/688,541**

Filing Date

**September 17, 2003**

Examiner

**John JUBA, Jr.**

Customer No.

**26,289**


Group Art Unit

**2872**Invention: **OPTICAL FILM HAVING CONTROLLED SCATTERING/TRANSMITTING CHARACTERISTICS**

I hereby certify that the following correspondence:

**Form PCT/IB/301 - 1 Page***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**MAY 4, 2005***(Date)***MARIA T. SANCHEZ***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EV 540353441***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

# PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF RECEIPT OF RECORD COPY

(PCT Rule 24.2(a))

From the INTERNATIONAL BUREAU

To:

KANAO, Hiroki  
Mutsumi International Patent Bureau  
Bandai Bldg., 10-14, Kandaawajicho  
2-chome  
Chiyoda-ku, Tokyo 101-0063  
JAPON

Date of mailing (day/month/year) 24 May 2002 (24.05.02)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference K02016-PCT	International application No. PCT/JP02/03760

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

CLARIANT INTERNATIONAL LTD. (for all designated States except US)  
HARADA, Takamasa (for US)

International filing date : 16 April 2002 (16.04.02)  
Priority date(s) claimed : 20 April 2001 (20.04.01)  
Date of receipt of the record copy  
by the International Bureau : 26 April 2002 (26.04.02)  
List of designated Offices :

EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR  
National : CN, KR, SG, US


### ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- ☒ time limits for entry into the national phase - see updated important information (as of April 2002)
- ☒ confirmation of precautionary designations (if applicable)
- ☒ requirements regarding priority documents (if applicable)

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer:  Shinji IGARASHI  Telephone No. (41-22) 338.83.38
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa HARADA**

Docket No.

**2001JP309**

Application No.

**10/688,541**

Filing Date

**September 17, 2003**

Examiner

**John JUBA, Jr.**

Customer No.

**26,289**

Group Art Unit

**2872**Invention: **OPTICAL FILM HAVING CONTROLLED SCATTERING/TRANSMITTING CHARACTERISTICS**

I hereby certify that the following correspondence:

**Form PCT/IB/304 - 1 Page***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**MAY 4, 2005***(Date)***MARIA T. SANCHEZ***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 540353441***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



From the INTERNATIONAL BUREAU

PCT

NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

To:

KANAO, Hiroki  
Mutsumi International Patent Bureau  
Bandai Bldg., 10-14, Kandaawajicho  
2-chome  
Chiyoda-ku, Tokyo 101-0063  
JAPON

Date of mailing (day/month/year) 11 June 2002 (11.06.02)	
Applicant's or agent's file reference K02016-PCT	IMPORTANT NOTIFICATION
International application No. PCT/JP02/03760	International filing date (day/month/year) 16 April 2002 (16.04.02)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 20 April 2001 (20.04.01)
Applicant CLARIANT INTERNATIONAL LTD. et al	

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the international Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
20 April 2001 (20.04.01)	2001-123389	JP	07 June 2002 (07.06.02)

<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No. (41-22) 740.14.35</p>	<p>Authorized officer</p> <p>PETRESKA Gorica</p> <p>Telephone No. (41-22) 338.83.38</p>
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa HARADA**

Docket No.

**2001JP309**

Application No.

**10/688,541**

Filing Date

**September 17, 2003**

Examiner

**John JUBA, Jr.**

Customer No.

**26,289**


Group Art Unit

**2872**Invention: **OPTICAL FILM HAVING CONTROLLED SCATTERING/TRANSMITTING CHARACTERISTICS**

I hereby certify that the following correspondence:

**Form PCT/IB/308 - 1 Page***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**MAY 4, 2005***(Date)***MARIA T. SANCHEZ***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EV 540353441***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

## PCT

NOTICE INFORMING THE APPLICANT OF THE  
COMMUNICATION OF THE INTERNATIONAL  
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

To:

KANAO, Hiroki  
Mutsumi International Patent Bureau  
Bandai Bldg., 10-14, Kandaawajicho  
2-chome  
Chiyoda-ku, Tokyo 101-0063  
Japan

Date of mailing (day/month/year) 31 October 2002 (31.10.02)		
Applicant's or agent's file reference K02016-PCT		<b>IMPORTANT NOTICE</b>
International application No. PCT/JP02/03760	International filing date (day/month/year) 16 April 2002 (16.04.02)	Priority date (day/month/year) 20 April 2001 (20.04.01)
Applicant CLARIANT INTERNATIONAL LTD. et al		

1. Notice is hereby given that the International Bureau has **communicated**, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this notice:

KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

CN,EP,SG

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this notice is a copy of the international application as published by the International Bureau on 31 October 2002 (31.10.02) under No. WO 02/086562

4. **TIME LIMITS** for filing a demand for international preliminary examination and for entry into national phase

The applicable time limit for entering the national phase will, **subject to what is said in the following paragraph** be **30 MONTHS** from the priority date, not only in respect of any elected Office if a demand for international preliminary examination is filed before the expiration of **19 months** from the priority date, but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of the designated Office. For further details, see PCT Gazette No.44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

In practice, **time limits other than the 30-month time limit** will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For **regular updates on the applicable time limits** (20,21,30 or 31 months, or other time limit), Office by Office, refer to the PCT Gazette, the PCT Newsletter and the PCT Applicant's Guide, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

For filing a **demand for international preliminary examination**, see the PCT Applicant's Guide, Volume I/A, Chapter IX. Only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II.)

It is the applicant's **sole responsibility** to monitor all these limits.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  J. Zahra
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.91.11